A lthough the importance of graduating from high school is well documented, there is relatively little research literature on the strategies high schools can use to improve their graduation rates. To address this issue, this study identified 22 California schools that are “beating the odds” (BTO) in terms of graduation rates, dropout rates, and test scores, compared to schools with similar demographics and challenges. After identifying such BTO high schools, interviews were conducted with principals of six of these schools to determine the policies, procedures, and practices their leaders believe have contributed to their demonstrated ability to “beat the odds.”

- **Identifying Beating-the-Odds High Schools**

  A major challenge is how to identify BTO high schools. California currently lacks a data system capable of computing accurate drop-

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**Annual Dropout Rates and Academic Achievement for California High Schools in 2005-06**

![Graph showing dropout rates and academic achievement for California high schools in 2005-06.](image)

**NOTE:** Regular public high schools (excluding charters) with at least 50% of the students eligible for free and reduced lunch (N=245). CST ELA = California Standards Test, English Language Arts.
out and graduation statistics, so this study estimated five dropout rates and four graduation rates using data currently available from the California Department of Education. Given this array, some high schools appear exceptionally effective on one measure, but not on another.

Two rates were selected for use in this study: (1) the annual dropout rate from grades 9-12 (state average = 3.5% in 2005-06), and (2) the “official” graduation rate that California uses for federal accountability purposes (state average = 83.2% in 2005-06). Additionally, we considered schools’ academic achievement, since schools that are effective in improving graduation rates may not be effective in improving student achievement. For example, schools with low dropout rates vary widely in terms of student academic achievement (see figure).

To identify California’s BTO high schools, the expected graduation and dropout rates for regular (non-charter) high schools were first estimated for each school year from 2002-03 through 2005-06, controlling for the following characteristics: eligibility for free or reduced price lunch, racial minority, special education designation, gender, and English learner status. A school was identified as BTO if it performed better than expected on the graduation and dropout rates for all four years, had at least 50 percent of students eligible for free or reduced price lunch, and had high test scores compared to schools with similar students.

Based on these criteria, only 22 California high schools emerged as BTO, which illustrates how difficult it is for schools to be effective on multiple indicators of school performance.

**BTO Case Studies**

The districts associated with the BTO schools were contacted in an effort to better understand the context in which these schools appeared so strong, and the extent to which success, as indicated by the data, reflect strategies specifically implemented for this purpose. Is the school “beating the odds” because of a well-implemented strategy, or for some other reason, such as having selective admission procedures?

As an example of the latter, one district openly admitted that their schools’ graduation rates were misleading because the schools transfer struggling students to alternative schools. The superintendent noted that these schools were not strategically combating the dropout issue, and for this reason they were not contacted, nor were they included in the list of BTO schools.

Ultimately, six high schools with leaders who could clearly describe the strategies used to realize the unusually high graduation and low dropout rates—given their student demographics—were interviewed and profiled (see table).

Although all six principals emphasized that there is no one formula for success, four overarching themes emerged from the interviews:

1. **Connecting With and Engaging Students**

   All interviewed schools reported a conscious effort to encourage students to stay engaged in school by providing connections between the student and the school. At Riverdale, students are involved in decisions about which electives the school offers to ensure access to the kinds of classes students want to take. Bassett and Sanger foster mentoring relationships between staff and students through an advisory structure, while Duarte and Valley establish informal connections with each student to provide mentoring and support.

   The schools indicated that these personal relationships help teachers know if a student is struggling and in need of interventions.

2. **Engaging Parents and Community Members to Support School Efforts**

   Five of the six interviewed schools cited parent and community involvement as a way to encourage low dropout rates. Duarte holds meetings for parents to explain college application and financial aid processes. Selma and Sanger have partnerships with local universities to educate parents about college options. Riverdale and Selma utilize their school websites to keep parents informed of upcoming events and important resources. Three principals emphasized the importance of being active in the broader community through organizations, churches,
Profiles of BTO Case Study High Schools (2005-06)

<table>
<thead>
<tr>
<th>High School</th>
<th>District</th>
<th>County</th>
<th>Students Eligible for Free or Reduced Price Lunch</th>
<th>Minority Students</th>
<th>Total Enrollment</th>
<th>State API Rank, 2006</th>
<th>Similar Schools Rank, 2006</th>
<th>Annual Dropout Rate</th>
<th>Graduation Rate</th>
</tr>
</thead>
<tbody>
<tr>
<td>Bassett</td>
<td>Bassett Unified</td>
<td>Los Angeles</td>
<td>68%</td>
<td>98%</td>
<td>1,444</td>
<td>3</td>
<td>9</td>
<td>1.4%</td>
<td>96.6%</td>
</tr>
<tr>
<td>Duarte</td>
<td>Duarte Unified</td>
<td>Los Angeles</td>
<td>54%</td>
<td>85%</td>
<td>1,207</td>
<td>5</td>
<td>10</td>
<td>0.0%</td>
<td>96.7%</td>
</tr>
<tr>
<td>Riverdale</td>
<td>Riverdale Joint Unified</td>
<td>Fresno</td>
<td>73%</td>
<td>79%</td>
<td>548</td>
<td>6</td>
<td>10</td>
<td>0.0%</td>
<td>100%</td>
</tr>
<tr>
<td>Sanger</td>
<td>Sanger Unified</td>
<td>Fresno</td>
<td>64%</td>
<td>82%</td>
<td>2,224</td>
<td>6</td>
<td>9</td>
<td>0.6%</td>
<td>95.9%</td>
</tr>
<tr>
<td>Selma</td>
<td>Selma Unified</td>
<td>Fresno</td>
<td>69%</td>
<td>88%</td>
<td>1,673</td>
<td>6</td>
<td>10</td>
<td>0.6%</td>
<td>93.4%</td>
</tr>
<tr>
<td>Valley</td>
<td>Elk Grove Unified</td>
<td>Sacramento</td>
<td>73%</td>
<td>94%</td>
<td>1,940</td>
<td>4</td>
<td>9</td>
<td>3.0%</td>
<td>84.5%</td>
</tr>
</tbody>
</table>


or by offering use of the school facility for community events.

3. Providing Interventions and Supports to Students At Risk of Dropping Out

All six interviewed schools indicated that they use data, including grades, credit hours, and attendance, to identify students at risk of dropping out, and then offer these students interventions and supports to stay in school. Duarte and Sanger identify struggling 8th graders in order to begin targeted interventions with these students as soon as they enter the 9th grade. Duarte and Selma have counselors dedicated to students at risk of dropping out, while Valley has a full-time career and college counselor. Counselors at all six schools were reported to provide individualized support to students to guide their instructional plan, serve as a liaison between students and teachers, and closely follow student progress.

4. Creating a Culture of Accountability and High Expectations

All six schools said that they have worked to create a culture that supports graduation and college attendance. Riverdale, Sanger, and Valley enroll all of their students in college preparatory courses, while Duarte, Bassett, and Valley offer Advanced Placement courses for any student. Riverdale and Selma increased their requirements for graduation or participation in the graduation ceremony. Riverdale students must include proof of admission to a post-secondary educational institution, a vocational institute, the military, or evidence of ongoing job interviews to qualify for graduation. Selma recently implemented a four-year attendance policy stating that students must have no more than 10 absences per year, or 40 over four years, to participate in the graduation ceremony.

► Conclusions

These themes are consistent with other studies of high schools that have demonstrated effectiveness in reducing dropout rates and improving graduation rates. These studies have identified an array of components found in a number of comprehensive school reform models including early intervention, engagement, challenging courses, and smaller school size as organizational factors influencing students to stay in school. The studies have also found that it is the combination of components that appear to be particularly effective in improving student outcomes, not one or two specific strategies.

District factors also seem to matter. Five of these six schools are in smaller school districts, which likely offer the advantages that smaller, more cohesive communities can bring in supporting children to stay in school. However, the leaders of these schools also attributed their success to factors that could be employed in larger districts. For example, the principals cited district administrations that are dedicated to their needs and give them the ability to exercise discretion over who is hired, and considerable autonomy to introduce and/or alter programs as needed to achieve high standards.

In summary, this study offers useful insights into what can be done to address California’s dropout crisis. Specific schools can be found that are beating the odds on these vital outcomes and are creating explicit structures and supports to encourage high graduation rates. These practices can be adopted by other schools and should inform future policy deliberations.
The California Dropout Research Project is an affiliated project of the University of California Linguistic Minority Research Institute and the UC Santa Barbara Gevirtz Graduate School of Education

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